



11/10-03

Docket No. 13316-0016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Gerard F. Goepfert**

Serial No.: **10/613,973**

Filing Date: **July 3, 2003**

Title: **Under Counter Dispenser**

CERTIFICATE OF MAILING BY EXPRESS MAIL
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I hereby certify that this correspondence, consisting of:

1. An Information Disclosure Statement (3 pages);
2. Form PTO 1449 (2 pages);
3. Copies of 28 references; and
4. A return-receipt postcard

are being deposited in the United States Postal Service as Express Mail in an envelope addressed to:

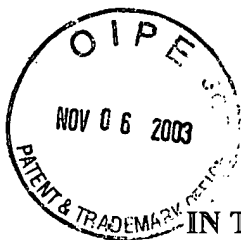
Mail Stop: Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on

November 6, 2003
Date

Suzanne Shields
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Attorney Docket No. 13316-0016

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Gerard F. Goepfert)	
)	Group Art Unit: To be assigned
Serial No.: 10/613,973)	
)	
Filed: July 3, 2003)	
)	
For: Under Counter Dispenser)	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. These references are also listed on the enclosed PTO Form 1449 and copies are enclosed.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of a national application; within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office Action on the merits. 37 C.F.R. § 1.97 (b)
- ☐ **after** three months of the filing date of a national application, or the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or **after** the mailing date of a first Office Action on the merits, but **before** the mailing date of a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), and includes (37 C.F.R. § 1.97 (c):
- ☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below)

OR

- ☐ the fee of \$240 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).
- ☐ **after** a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), but before, or simultaneously with, the payment of the issue fee, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" and "Method of Payment of Fees" below). Applicants hereby petitions for consideration of the Information Disclosure Statement submitted herewith and the accompanying references in examination of the subject patent application.

CERTIFICATION

- ☐ The **undersigned** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

FEES

- ☒ No fee is owed by the applicant(s).
- ☐ The **IDS Fee of \$240.00** under 37 C.F.R. § 1.17(p) is enclosed herewith.
- ☐ The **Petition Fee of \$130.00** set forth in 37 C.F.R. § 1.17(i) is enclosed herewith.

METHOD OF PAYMENT OF FEES

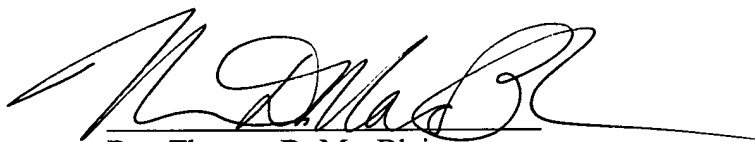
- ☐ Attached is a check in the amount of \$.
☐ Charge Deposit Account No. _____ in the amount of \$. (A duplicate copy of this communication is enclosed for that purpose.)

In accordance with MPEP Sections 609 and 707.05(b), it is requested the document cited be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Respectfully Submitted,

Date:

11/6/03



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Sheet 1 of 2

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 13316-0016	SERIAL NO 10/613,973
	APPLICANT Gerard F. Goepfert	
	FILING DATE July 3, 2003	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,723,688	02/09/1988	Munoz			
	5,190,188	03/02/1993	Credle, Jr.			
	5,251,790	10/12/1993	Cohn et al.			
	5,492,250	02/20/1996	Sardynski			
	3,677,443	07/18/1972	Smadar et al.			
	4,308,975	01/05/1982	Bowen			
	6,095,372	08/01/2000	Dorsey et al.			
	6,082,590	07/04/2000	Dorsey et al.			
	5,938,078	08/17/1999	Dorsey et al.			
	5,339,986	08/23/1994	Mihalich			
	4,979,647	12/25/1990	Hassell			
	4,921,135	05/01/1990	Pleet			
	4,757,920	07/19/1988	Harootian, Jr. et al.			
	4,687,120	08/18/1987	McMillin			
	4,335,836	06/22/1982	Harvill			
	4,204,613	05/27/1980	Terzian et al.			

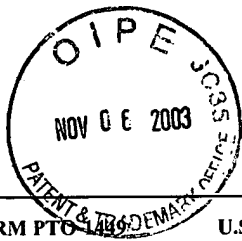
FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	99/00320	07.01.1999	WIPO				
	02/28763	11.04.2002	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

FORM PTO-1449

U.S. DEPARTMENT OF
COMMERCEPATENT AND
TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.
13316-0016SERIAL NO
10/613,973APPLICANT
Gerard F. GoepfertFILING DATE
July 3, 2003

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,855,298	01/95/1999	Teetsell, III et al.			
	6,026,988	02/22/2000	Teetsell, III et al.			
	2,895,653	07/21/1959	Giepen			
	3,035,737	05/22/1962	Speas			
	4,907,723	03/13/1990	Katz			
	6,497,343	12/24/2002	Teetsell, III			
	3,445,039	05/20/1969	Brodsky et al.			
	4,634,092	01/06/1987	Daniell et al.			
	5,188,334	02/23/1993	Yoshii et al.			
	5,439,145	08/08/1995	Salkeld et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER

DATE
CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)